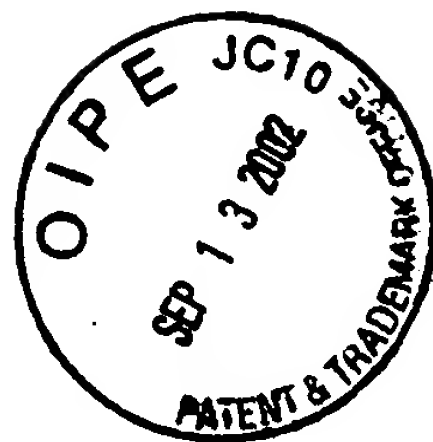


CP2131 #6 BT
9-30-02

Rev. 04/01

Docket No. CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Phillip M. Ginsberg
Application No.: 09/843,093 Confirmation No.: 8423
Filed : April 26, 2001
For : METHODS AND SYSTEMS FOR ACCESSING
FINANCIAL PROSPECTUS DATA
Group Art Unit : 2131
Examiner : Not yet assigned

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Technology Center 2100

New York, New York
September 11, 2002

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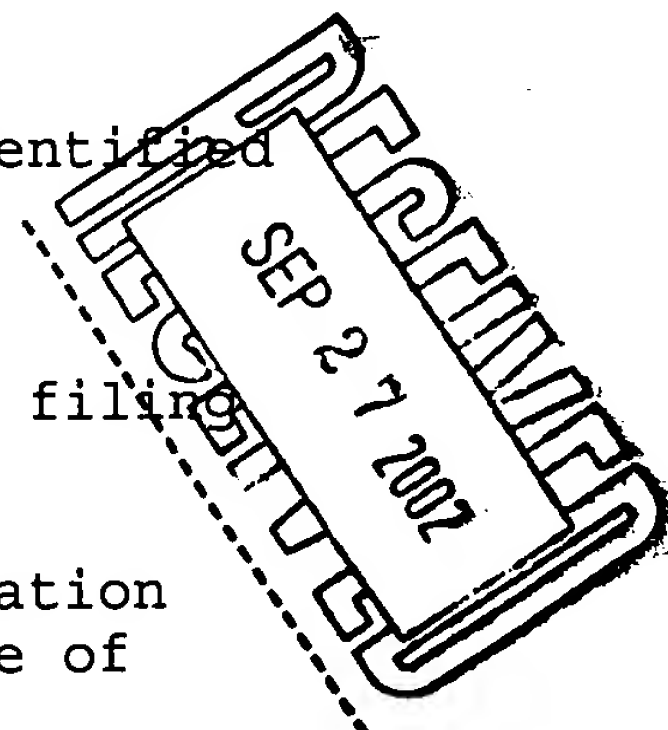
TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

GROUP 3600

Transmitted herewith is a Supplemental
Information Disclosure Statement in the above-identified
application. This Statement is submitted:

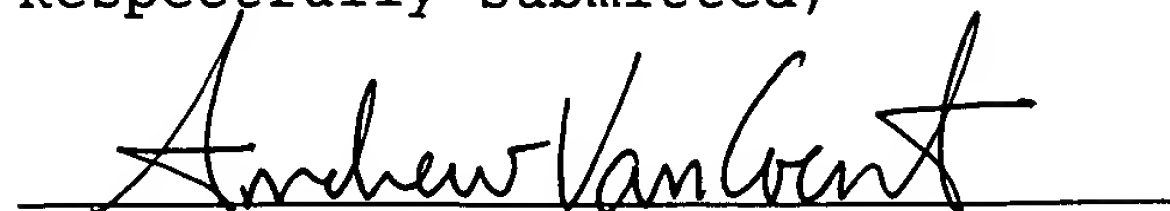
- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.



In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,




Andrew Van Court
Registration No. 48,506
Agent for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Hereby Certify that this
Correspondence is being
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Addressed to:

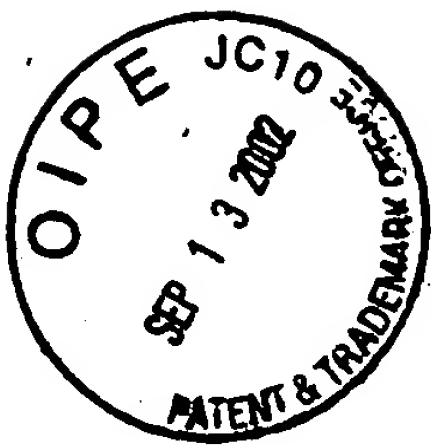
COMMISSIONER FOR
PATENTS P.O. BOX 2327
ALEXANDRIA, VA 22202

on September 11, 2002

Copy 1 - Sample - VAN GOODMAN



Signature of Person Signing



CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to
the following documents:

Foreign Patents

EPO	0 042 599 A2	12/30/81
EPO	0 354 260 A1	02/14/90
Germany	40 42 297 A1	07/18/91
JP	8-142538	06/04/96
JP	10-203051	08/04/98

These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

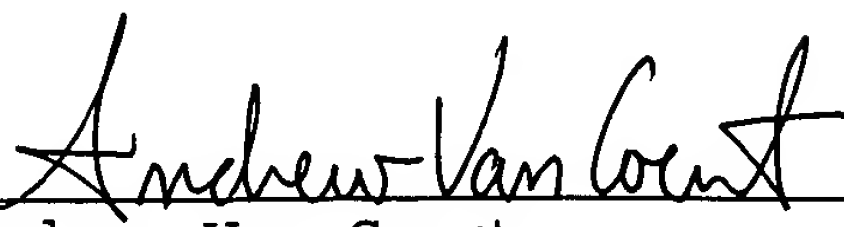
Certification Pursuant
to 37 C.F.R. § 1.97(e)

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the August 8, 2002 European Search Report on applicant's corresponding application 02252884.8. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee.

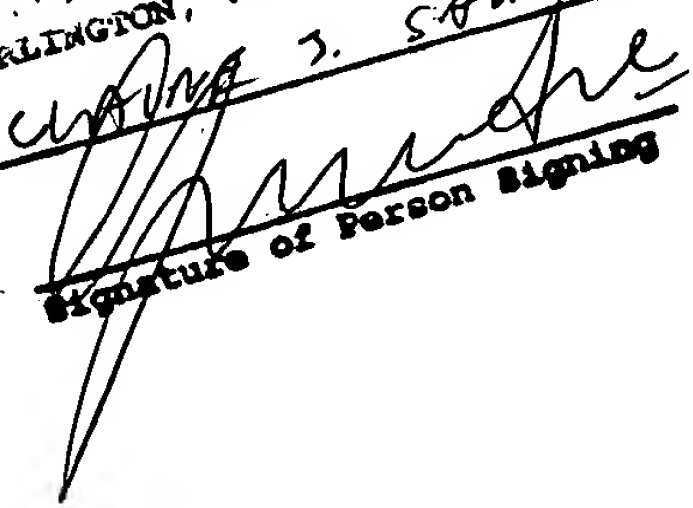
It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

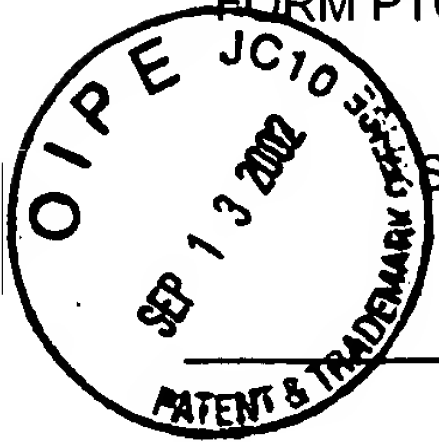
Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,


Andrew Van Court
Registration No. 48,506
Agent for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

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Class Mail in an Envelope
Addressed to:
COMMISSIONER FOR
PATENTS P.O. BOX 2327
ARLINGTON, VA 22202 on September 11, 2002


Signature of Person Signing



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	DOCKET NO. CF-017	APPLICATION NO. 09/843,093
	APPLICANT Phillip M. Ginsberg	CONFIRMATION NO. 8423
	FILING DATE April 26, 2001	GROUP 2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

Technology Center 2100

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 042 599 A2	12/30/81	EPO	G06K	19/04		X
	0 354 260 A1	02/14/90	EPO	G06F	15/21		
	40 42 297 A1	07/18/91	Germany	G06K	17/00		X
	8-142538	06/04/96	Japan	B42D	1/00		X
	10-203051	08/04/98	Japan	B42D	11/00		X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

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GROUP 3600

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.	